



## NOTICE TO COMPLY

CA-93794609-0523

Institution	Midwest University	Institution	636-327-4645
Name:		Telephone:	
Institution	93794609	Administrator	Dr. Jong Y. Lee
Code:		Name:	_
Street	24428 S. Vermont Ave, Harbor	Inspection Date:	May 2, 2023
Address:	City, CA 90710	-	-

The Bureau for Private Postsecondary Education (Bureau) issues this Notice to Comply pursuant to California Education Code (CEC) section 94935 and Title 5 of the California Code of Regulations (5 CCR) section 75010.

California Private Postsecondary Education Act: <u>https://www.bppe.ca.gov/lawsregs/ppe\_act.pdf</u> Title 5 of the California Code of Regulations: <u>https://www.bppe.ca.gov/lawsregs/regs.pdf</u>

Violation	Code Section Violated	Description of the violation and required correction.
1	CEC § 94909 (a)(8)(A)	Violation Description: The catalog failed to include a detailed description of institutional policies regarding administration policies, including the institution's policies regarding the acceptance of credits earned at other institutions or through challenge examinations and achievement tests, and a list describing any transfer or articulation agreements between the institution and any other college or university that provides for the transfer of credits earned in the program of instruction. If the institution has not entered into an articulation or transfer agreement with any other college or university, the institution shall disclose that fact.
		<b>Correction:</b> Correct the catalog to comply with CEC § 94909 (a)(8)(A)

Violation	Code Section Violated	Description of the violation and required correction.
2	CEC § 94909 (a)(6)	<ul> <li>Violation Description: The catalog failed to include if the educational program is designed to lead to positions in a profession, occupation, trade, or career field requiring licensure in this state, a notice to that effect and a list of the requirements for eligibility for licensure.</li> <li>Correction: Correct the catalog to comply with CEC § 94909 (a)(6). Provide an updated catalog or link to the catalog to confirm compliance.</li> </ul>
3	CEC § 94909 (a)(8)(B)	Violation Description: The catalog failed to include the institutional policies regarding the cancellation, withdrawal, and refund policies, including an explanation that the student has the right to cancel the enrollment agreement and obtain a refund of charges paid through attendance at the first-class session, or the seventh day after enrollment, whichever is later. Additionally, the text shall include a description of the procedures that a student is required to follow to cancel the enrollment agreement or withdraw from the institution and obtain a refund consistent with the requirements of Article 13 (commencing with Section 94919).
		<b>Correction:</b> Correct the catalog that complies with CEC § 94909 (a)(8)(B). Provide an updated catalog or link to the catalog to confirm compliance.
4	CEC § 94909 (a)(10)	<b>Violation Description:</b> The catalog failed to include a statement reporting whether the institution participates in federal and state financial aid programs, and if so, all consumer information that is required to be disclosed to the student pursuant to the applicable federal and state financial aid programs.
		<b>Correction:</b> Correct the catalog that complies with CEC § 94909 (a)(10). Provide an updated catalog or link to the catalog to confirm compliance.

Violation	Code Section Violated	Description of the violation and required correction.
5	CEC § 94909 (a)(11)	Violation Description: The catalog failed to include a statement specifying that, if a student obtains a loan to pay for an educational program, the student will have the responsibility to repay the full amount of the loan plus interest, less the amount of any refund, and that, if the student has received federal student financial aid funds, the student is entitled to a refund of the moneys not paid from federal student financial aid program funds.
		<b>Correction:</b> Correct the catalog that complies with CEC § 94909 (a)(11). Provide an updated catalog or link to the catalog to confirm compliance.
6	5 CCR § 71810 (b)(6)	<b>Violation Description:</b> The catalog failed to include the institution's policies and practices regarding any form of financial aid, including all consumer information which the institution is required to disclose to the student under any state or federal financial aid program.
		<b>Correction:</b> Correct the catalog that complies with 5 CCR § 71810 (b)(6). Provide an updated catalog or link to the catalog to confirm compliance.
7	CEC § 94909 (a)(8)(E)	<b>Violation Description:</b> The catalog failed to include the leave-of-absence policies.
		<b>Correction:</b> Correct the catalog that complies with CEC § 94909 (a)(8)(E). Provide an updated catalog or link to the catalog to confirm compliance.
8	5 CCR § 71810 (b)(12)	Violation Description: The catalog failed to include a description of all student services.
		<b>Correction:</b> Correct the catalog that complies with 5 CCR § 71810 (b)(12). Provide an updated catalog or link to the catalog to confirm compliance.
8	CEC § 94909 (a)(13)	<b>Violation Description:</b> The catalog failed to include a description of the nature and extent of the placement services if the institution provides placement services.
		<b>Correction:</b> Correct the catalog that complies with CEC § 94909 (a)(13). Provide an updated catalog or link to the catalog to confirm compliance.

Violation	Code Section Violated	Description of the violation and required correction.
9	5 CCR § 71810 (13)(B)	<b>Violation Description:</b> The catalog failed to include the housing information for the institute's location in the state of California.
		(B) The availability of housing located reasonably near the institution's facilities and an estimation of the approximate cost or range of cost of the housing
		<b>Correction:</b> Correct the catalog that complies with 5 CCR § 71810 (13) (B).
10	5 CCR § 71810 (b)(15)	Violation Description: The catalog failed to include policies on the retention of student records.
		<b>Correction:</b> Correct the catalog that complies with 5, CCR § 71810 (b)(15). Provide an updated catalog or link to the catalog to confirm compliance.
11	5 CCR § 71800 (a)	Violation Description: The enrollment agreement failed to include the address of the institution and the addresses where instruction will be provided for its California location.
		<b>Correction:</b> Update the enrollment agreement to include the address of the institution and the addresses where instruction will be provided for its California location.
12	5 CCR § 71800 (b)	Violation Description: The enrollment agreement failed to specifically outline the beginning and ending dates defining the time-period covered by the catalog. The current dates on the catalog indicate: "January 2023- December 2023." The dates should be specific and include "Month, Day, Year – Month, Day, Year."
		<b>Correction:</b> Correct the enrollment to specifically outline the beginning and ending dates defining the time-period covered by the catalog. Provide a link or printout of the catalog to confirm the correction has been made to comply with 5 CCR § 71810 (b).
13	5 CCR § 71800 (c)	Violation Description: The enrollment agreement failed to include the program start date and scheduled completion date.
		<b>Correction:</b> Update the enrollment agreement to include the program start date and scheduled completion date pursuant to 5 CCR § 71800 (c). Provide an updated enrollment agreement to confirm compliance.

Violation	Code Section Violated	Description of the violation and required correction.
14	5 CCR § 71800 (d)	<b>Violation Description:</b> The enrollment agreement failed to include the date by which the student must exercise his or her right to cancel or withdraw, and the refund policy, including any alternative method of calculation if approved by the Bureau pursuant to section 94921 of the Code.
		<b>Correction:</b> Update the enrollment agreement to comply with 5 CCR § 71800 (d). Provide an updated enrollment agreement to confirm compliance.
17	5 CCR § 71800 (e)	<b>Violation Description:</b> The institution's enrollment agreement failed to include itemization of all institutional charges and fees. The institution's enrollment agreement only indicates registration fee.
		<b>Correction:</b> Provide an updated enrollment agreement that is corrected to comply with 5 CCR § 71800 (e).
18	CEC § 94911 (b)	Violation Description: The enrollment agreement failed to include a schedule of total charges, including a list of any charges that are nonrefundable and the student's obligations to the Student Tuition Recovery Fund, clearly identified as nonrefundable charges.
		<b>Correction:</b> Provide an updated enrollment agreement that is corrected to comply with CEC § 94911 (b).
19	CEC § 94911 (c)	<b>Violation Description:</b> The enrollment agreement failed to include following information verbatim on the same page of the student's signature:
		TOTAL CHARGES FOR THE CURRENT PERIOD OF ATTENDANCE
		ESTIMATED TOTAL CHARGES FOR THE ENTIRE EDUCATIONAL PROGRAM
		THE TOTAL CHARGES THE STUDENT IS OBLIGATED TO PAY UPON ENROLLMENT
		<b>Correction:</b> Provide an updated enrollment agreement that is corrected to comply with CEC §94911 (c).

Violation	Code Section Violated	Description of the violation and required correction.
20	5 CCR § 76215 (a)	Violation Description: The institution's enrollment agreement failed to include the Student Tuition Recovery Fund (STRF) disclosures, verbatim per 5 CCR § 76215 (a).
		<b>Correction:</b> Provide an updated enrollment agreement that contains STRF disclosures per 5 CCR § 76215 (a).
21	CEC § 94911 (e)(1)(2)(3)	<ul> <li>Violation Description: The enrollment agreement failed to contain:</li> <li>(1) A disclosure with a clear and conspicuous caption, "STUDENT'S RIGHT TO CANCEL," under which it is explained that the student has the right to cancel the enrollment agreement and obtain a refund of charges paid through attendance at the first class session, or the seventh day after enrollment, whichever is later.</li> <li>(2) The disclosure shall contain the institution's refund policy and a statement that, if the student has received federal student financial aid funds, the student is entitled to a refund of moneys not paid from federal student financial aid program funds.</li> <li>(3) The text shall also include a description of the procedures that a student is required to follow to cancel the enrollment agreement or withdraw from the institution and obtain a refund.</li> </ul>
22	CEC §94911 (f)	<ul> <li>Correction: Provide an updated enrollment agreement that is corrected to comply with CEC §94911 (e)(1)(2)(3)</li> <li>Violation Description: The enrollment agreement failed to include a statement specifying that, if the student obtains a loan to pay for an educational program, the student will have the responsibility to repay the full amount of the loan plus interest, less the amount of any refund.</li> </ul>
		<b>Correction</b> : Provide an updated enrollment agreement that is corrected to comply with CEC §94911 (f).

Violation	Code Section Violated	Description of the violation and required correction.
23	CEC §94911 (g)(1)(2)	<b>Violation Description:</b> The enrollment agreement failed to include a statement specifying that, if the student is eligible for a loan guaranteed by the federal or state government and the student defaults on the loan, both of the following may occur:
		(1) The federal or state government or a loan guarantee agency may take action against the student, including applying any income tax refund to which the person is entitled to reduce the balance owed on the loan.
		(2) The student may not be eligible for any other federal student financial aid at another institution or other government assistance until the loan is repaid.
		<b>Correction</b> : Provide an updated enrollment agreement that is corrected to comply with CEC §94911 (g)(1)(2).
24	CEC § 94911 (h) & CEC §94909 (a)(15)	<b>Violation Description:</b> The enrollment agreement failed to include the "NOTICE CONCERNING TRANSFERABILITY OF CREDITS AND CREDENTIALS EARNED AT OUR INSTITUTION" statement listed above verbatim per CEC § 94909 (a)(15).
		<b>Correction</b> : Provide an updated enrollment agreement that is corrected to comply with CEC § 94911 (h) & CEC §94909 (a)(15).

Violation	Code Section Violated	Description of the violation and required correction.
25	CEC § 94911 (j)(1)(2)	Violation Description: The enrollment agreement failed to include the following statements:
		<ul> <li>(1) "Any questions a student may have regarding this enrollment agreement that have not been satisfactorily answered by the institution may be directed to the Bureau for Private Postsecondary Education at (address), Sacramento, CA (ZIP Code), (Internet Web site address), (telephone and fax numbers)."</li> </ul>
		(2) "A student or any member of the public may file a complaint about this institution with the Bureau for Private Postsecondary Education by calling (toll-free telephone number) or by completing a complaint form, which can be obtained on the bureau's Internet Web site (Internet Web site address)."
		<b>Correction</b> : Provide an updated enrollment agreement that is corrected to comply with CEC § 94911 (j)(1)(2).
26	CEC § 94911 (i)(1)(2)	<b>Violation Description:</b> The enrollment agreement failed to include the required statements pertaining to CEC § $94911$ (i)(1)(2), verbatim.
		<b>Correction</b> : Provide an updated enrollment agreement that is corrected to comply with CEC § 94911 (i)(1)(2).
27	CEC § 94902 (a)	<b>Violation Description:</b> The enrollment agreement failed to include a designated section for the institution's authorized employee to sign.
		<b>Correction</b> : Provide an updated enrollment agreement that is corrected to comply with CEC § 94902 (a).
28	CEC § 94911 (d)	<b>Violation Description:</b> The enrollment agreement failed to include a clear and conspicuous statement that the enrollment agreement is legally binding when signed by the student and accepted by the institution.
		<b>Correction</b> : Provide an updated enrollment agreement that is corrected to comply with CEC § 94911 (d).

Violation	Code Section Violated	Description of the violation and required correction.
29	CEC § 94911 (k)	Violation Description: The enrollment agreement failed to include the required verbiage pertaining to CEC § 94911 (k).
		<b>Correction</b> : Provide an updated enrollment agreement to include the required verbiage pertaining to CEC § 94911 (k), verbatim. Additionally, the verbiage shall be implemented above the student's signature.
30	CEC § 94916	<b>Violation Description:</b> The enrollment agreement failed to include the statement above the space for the student's signature, verbatim:
		"I understand that this is a legally binding contract. My signature below certifies that I have read, understood, and agreed to my rights and responsibilities, and that the institution's cancellation and refund policies have been clearly explained to me."
		<b>Correction</b> : Provide an updated enrollment agreement to include the required verbiage pertaining to CEC § 94916.
31	5 CCR § 71716 (a)	Violation Description: The enrollment agreement failed to provide a statement to the student informing them that an institution offering a distance educational program where the instruction is not offered in real time shall transmit the first lesson and any materials to any student within seven days after the institution accepts the student for admission.
		<b>Correction</b> : Provide an updated enrollment agreement that is corrected to comply with 5 CCR §71716 (a).

Violation	Code Section Violated	Description of the violation and required correction.
32	5 CCR §71716 (b)	Violation Description: The enrollment agreement failed to provide a statement to the student informing them that the student shall have the right to cancel the agreement and receive a full refund pursuant to section 71750 before the first lesson and materials are received. Cancellation is effective on the date written notice of cancellation is sent. The institution shall make the refund pursuant to section 71750. If the institution sent the first lesson and materials before an effective cancellation notice was received, the institution shall make a refund within 45 days after the student's return of the materials.
		<b>Correction</b> : Provide an updated enrollment agreement that is corrected to comply with 5 CCR §71716 (b).

Pursuant to 5 CCR section 75010(d), the Institution may do either of the following:

(1) Within 30 days from the date of the inspection, sign and return the notice to comply, declaring under penalty of perjury that the violation was corrected and describing how compliance was achieved; or

(2) Within 30 days from the date of the inspection, file with the Bureau a written notice of disagreement, specifying the minor violations described in the notice to comply with which the person approved to operate the institution disagrees, and appealing it by requesting an informal office conference. If a written notice of disagreement is not timely filed with the Bureau, the right to appeal is deemed to have been waived.

## Pursuant to CEC section 94935(h), failure to comply with the notice to comply will result in the Bureau taking appropriate administrative enforcement action.

The Notice to Comply was given to the Institution's owner, person in control, chief academic officer, chief executive officer, chief operating officer, institution director, or any person delegated by any of the aforementioned persons to facilitate the inspection or accept such notice as set forth below.

Hee cheol Lee, Ph.D.
Academic Dean
Byron Bond
MA
ISR

## NOTICE TO COMPLY DECLARATION

CA-93794609-0523

Institution	Midwest University	Institution	636-327-4645
Name:		Telephone:	
Institution	93794609	Administrator	Dr. Jong Y. Lee
Code:		Name:	
Street	24428 S. Vermont Ave, Harbor	Inspection Date:	May 2, 2023
Address:	City, CA 90710		

I declare under penalty of perjury that each violation identified in this Notice to Comply has been corrected and attached with this declaration is evidence to support the correction of each violation identified.

Signature

Date

Print Name and Title