



NOTICE TO COMPLY

CA-3806551-012126

Institution Name:	Wharton School of the University of Pennsylvania	Institution Telephone:	(415) 267-6300
Institution Code:	3806551	Administrator Name:	Adrian Cario
Street Address:	2 Harrison Street, 6 th Floor, San Francisco, CA 94105	Inspection Date:	01/21/26

The Bureau for Private Postsecondary Education (Bureau) issues this Notice to Comply pursuant to California Education Code (CEC) section 94935 and Title 5 of the California Code of Regulations (5 CCR) section 75010.

California Private Postsecondary Education Act: https://www.bppe.ca.gov/lawsregs/ppe_act.pdf
Title 5 of the California Code of Regulations: <https://www.bppe.ca.gov/lawsregs/regs.pdf>

Violation	Code Section Violated	Description of the violation and required correction.
1	CEC § 94897 (l)	Violation Description: The institution's catalog included the statement that Wharton School of the Univ of Pennsylvania is private institution, and it is approved by the BPPE, however failed to state clearly and conspicuously what approval to operate means. Correction: The institution's catalog shall update the above information, pursuant to CEC § 94897 (l).
2	CEC § 94909 (a)(9)	Violation Description: The institution's catalog failed contain the schedule of total charges for a period of attendance and an estimated schedule of total charges for the entire educational program. Correction: The institution shall update the catalog to include above information pursuant to CEC § 94909 (a)(9).

Violation	Code Section Violated	Description of the violation and required correction.
3	CEC § 94909 (a)(7)	<p>Violation Description: Page 7 of the institution's catalog contain information regarding the faculty however failed to include faculty qualifications.</p> <p>Correction: The institution shall update the catalog with the above information, pursuant to CEC § 94909 (a)(7).</p>
4	CEC § 94897(s)	<p>Violation Description: Page 19 of the institution's catalog contains statements regarding that student's diploma and transcripts will be withheld if any outstanding balance is due to the Univ at the end of the program. This statement violate California civil code 1788.93 referenced below.</p> <p>CA Civ Code § 1788.93. <i>Notwithstanding any provision of law, a school shall not do any of the following:</i></p> <p class="list-item-l1">(a) <i>Refuse to provide a transcript for a current or former student on the grounds that the student owes a debt.</i></p> <p class="list-item-l1">(b) <i>Condition the provision of a transcript on the payment of a debt, other than a fee charged to provide the transcript.</i></p> <p class="list-item-l1">(c) <i>Charge a higher fee for obtaining a transcript or provide less favorable treatment of a transcript request because a student owes a debt.</i></p> <p class="list-item-l1">(d) <i>Use transcript issuance as a tool for debt collection.</i></p> <p>Correction: The institution shall remove the non-compliant statements from the catalog pursuant to CEC § 94897(s).</p>
5	5, CCR § 71800 (a)	<p>Violation Description: Page 1 of the institution's enrollment agreement include the name and address of the institution, however failed to mention the address where instruction will be provided.</p> <p>Correction: The institution shall update the enrollment agreement to include the above information, pursuant to 5, CCR § 71800 (a).</p>

Violation	Code Section Violated	Description of the violation and required correction.
6	5, CCR § 71800 (e)(11) conjunction with 5, CCR § 76120 (a)	<p>Violation Description: The institution's enrollment agreement failed to include the Student Tuition Recovery fund fee (non-refundable) under the itemization of charges.</p> <p>Correction: The institution shall update the enrollment agreement to include the above information, pursuant to 5, CCR § 71800 (e)(11) conjunction with 5, CCR § 76120 (a).</p>
7	CEC § 94911 (b)	<p>Violation Description: The institution's enrollment agreement failed to include the student's obligation to the Student Tuition Recovery Fund, clearly identified as nonrefundable charge.</p> <p>Correction: The institution shall update the enrollment agreement to include the above information, pursuant to CEC § 94911 (b).</p>
8	CEC § 94913 (a)(1)	<p>Violation Description: The institution's website failed to update a link to the institution's current catalog.</p> <p>Correction: The institution shall update the institution's website, pursuant to CEC § 94913 (a)(1).</p>

Pursuant to 5 CCR section 75010(d), the Institution may do either of the following:

- (1) Within 30 days from the date of the inspection, sign and return the notice to comply, declaring under penalty of perjury that the violation was corrected and describing how compliance was achieved; or
- (2) Within 30 days from the date of the inspection, file with the Bureau a written notice of disagreement, specifying the minor violations described in the notice to comply with which the person approved to operate the institution disagrees, and appealing it by requesting an informal office conference. If a written notice of disagreement is not timely filed with the Bureau, the right to appeal is deemed to have been waived.

Pursuant to CEC section 94935(h), failure to comply with the notice to comply will result in the Bureau taking appropriate administrative enforcement action.

The Notice to Comply was given to the Institution's owner, person in control, chief academic officer, chief executive officer, chief operating officer, institution director, or any person delegated by any of the aforementioned persons to facilitate the inspection or accept such notice as set forth below.

Notice To Comply Given To Name & Title:	Adrian Cario/ Executive Director
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Bureau Compliance Analyst Name:	Gurinder Sandhu
Bureau Compliance Analyst Signature:	<i>GSandhu</i>

NOTICE TO COMPLY DECLARATION

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I declare under penalty of perjury that each violation identified in this Notice to Comply has been corrected and attached with this declaration is evidence to support the correction of each violation identified.

Signature

Date

Print Name and Title