



APPEAL OF CITATION INFORMAL CONFERENCE
DECISION: CITATION MODIFIED

March 25, 2019

Union University of California, Owner
 Union University of California
 14200 Goldenwest Street
 Westminster, CA 92638

Date of Issuance	Citation Number	Institution Code
March 25, 2019	1819084	3009321

On February 14, 2019, an informal telephone conference was held in the matter of Citation: Assessment of Fine and Order of Abatement No. 1819084 (Citation) against Union University of California, Owner of Union University of California. In attendance were Beth Scott, Enforcement Chief; and Son Xuan Nguyen, Agent

Pursuant to Business and Professions Code, section 125.9; California Education Code, section 94936; and Title 5 of the California Code of Regulations, section 75020 and section 75040, the Bureau for Private Postsecondary Education (Bureau) renders the following decision relative to your appeal of the Citation No. 1819084.

It is the decision of the Enforcement Chief that on February 14, 2019 Citation No. 1819084 is modified and makes the following change(s):

VIOLATION CODE SECTIONS

#	Below you will find the California Education Code (CEC) and/or Title 5 of the California Code of Regulations (5, CCR code) section(s) of law you are charged with violating.
1.	<p><u>MODIFIED</u></p> <p><u>Violation:</u></p> <p>5, CCR Section 74112 (m) (1-9) – Uniform Data – Annual Report, Performance Fact Sheet</p> <p><i>(m) Documentation supporting all data reported shall be maintained electronically by the institution for at least five years from the last time the data was included in either an Annual Report or a Performance Fact Sheet, and shall be provided to the Bureau upon request; and the data for each program shall include at a minimum:</i></p> <p><i>(1) the list of job classifications determined to be considered gainful employment for the educational program;</i></p>

- (2) student name(s), address, phone number, email address, program completed, program start date, scheduled completion date, and actual completion dates;
- (3) graduate's place of employment and position, date employment began, date employment ended, if applicable, actual salary, hours per week, and the date employment was verified;
- (4) for each employer from which employment or salary information was obtained, the employer name(s) address and general phone number, the contact person at the employer and the contact's phone number and email address, and all written communication with employer verifying student's employment or salary;
- (5) for students who become self-employed, all documentation necessary to demonstrate self-employment;
- (6) a description of all attempts to contact each student or employer;
- (7) any and all documentation used to provide data regarding license examinations and examination results;
- (8) for each student determined to be unavailable for graduation or unavailable for employment, the identity of the student, the type of unavailability, the dates of unavailability, and the documentation of the unavailability; and
- (9) the name, email address, phone number, and position or title of the institution's representative who was primarily responsible for obtaining the students' completion, placement, licensing, and salary and wage data, the date that the information was gathered, and copies of notes, letters or emails through which the information was requested and gathered.

On June 22, 2018, the Institution representative stated they had documentation to substantiate the data reported in the 2015/2016 SPFS but could not provide the data for review as representative in charge of submitting the Annual Report was in Vietnam.

Order of Abatement:

The Bureau orders the Institution to submit the supporting documentation used to substantiate the data reported in the 2015/2016 SPFS. In addition, the Bureau orders the Institution to submit an established policy and procedure ensuring that all records are maintained by the Act and the Chapter and shall be readily available to the Bureau upon request during normal business hours.

Reason for modification: New substantive facts were presented at the conference.

The administrative fine for this violation has been modified from \$5,000.00 to \$250.00.

2. **MODIFIED**
Violation
5, CCR Section 71930 (e) Maintenance of Records
(e) All records that the institution is required to maintain be the Act or this chapter shall be made immediately available by the institution for inspection and copying during normal business hours by the Bureau and any entity authorized to conduct investigations.

On June 22, 2018, the Institution representative stated that they did not have access to the supporting documentation and was unable to provide the documentation to the Bureau upon request.

Order of Abatement:

The Bureau orders the Institution to submit an established policy and procedure ensuring that all records are maintained by the Act and the Chapter and shall be readily available to the Bureau upon request during normal business hours.

Reason for modification: New substantive facts were presented at the conference.

The administrative fine for this violation has been modified from \$5,000.00 to \$250.00.

TOTAL MODIFIED ADMINISTRATIVE FINE DUE: \$500.00

ORDER OF ABATEMENT

The Bureau orders that you comply with the orders described in the 'Violation Code Sections' of this document and submit evidence of compliance within 30 days from the date of this decision.

PENALTY – ASSESSMENT OF A FINE

Payment of the administrative fine is due within 30 days from the date of this decision. Please complete the Payment of Fine form. Payment must be made to the Bureau by check, or money order. Please include the citation number on the payment of the fine assessment. Payment of the administrative fine shall not constitute an admission of the violation(s) charged and shall be represented as satisfactory resolution of the matter for purposes of public disclosure. Payments may be mailed to:

Gurinder Sandhu, Discipline Citation Program
Bureau for Private Postsecondary Education
2535 Capitol Oaks Drive, Suite 400
Sacramento, CA 95833

APPEAL OF CITATION

You *do not* have the right to request another Informal Conference to appeal this modified Citation. You *do*, however, have the right to appeal this modified Citation through an Administrative Hearing, *only if* you initially requested one within 30 days from the issuance date of the original citation. A hearing before an Administrative Law Judge will be scheduled and you will be notified of the hearing date. The hearing will be held pursuant to Chapter 5 (commencing with section 11500) of Part 1 of Division 3 of Title 2 of the Government Code. If you did not initially request an Administrative Hearing within 30 days from when the original citation was issued, you can no longer request one.

If you do not wish to appeal this modified Citation you must withdraw your initial request for an Administrative Hearing, if one was made. Please complete and mail the enclosed Withdrawal - Request for Administrative Hearing within **30 Days** of the date of this decision.


EFFECTIVE DATE OF CITATION AND FINE ASSESSMENT

This modified Citation is effective on **March 25, 2019**. The order of abatement and payment are due by **April 24, 2019**.

Failure to abate the violation or to pay the administrative fine within the time allowed is grounds for denial of an application for an approval to operate or discipline. The Bureau will promptly take all appropriate action to enforce the Modified Citation and recover the civil penalties prescribed therein or found to be due after a hearing.

CONTACT INFORMATION

If you have any questions regarding this decision or desire further information, please contact Gurinder Sandhu, Citation Analyst, at (916) 431-6940 or at Gurinder.Sandhu@dca.ca.gov.



Christina Villanueva
Discipline Manager



Date

- Enclosures
- Payment of Fine - Waiver of Appeal Rights
 - Declaration of Service by Certified and First-Class Mail